



INTERNATIONAL BOUNDARY & WATER COMMISSION
**STATEMENT AND ACKNOWLEDGE (SF-1413) FORM
INSTRUCTIONS**

All subcontractors must have a current SF-1413 on file.

The prime contractor "states" what portion of the Contract work is subcontracted and to whom. The last subcontractor involved who will actually perform that portion of the work, "acknowledges" the labor provisions.

- Prime contractors are to complete the upper portion of the form (Blocks 1-12) and sign Part I.
- The last-tier subcontractor involved completes and signs the lower portion of the form (Blocks 13-17).
- Intermediate subcontractors are accounted for in Block 14, but they do not sign the form. Intermediate subcontractors provide their own SF-1413 form for the project.

PART I - STATEMENT OF PRIME CONTRACTOR

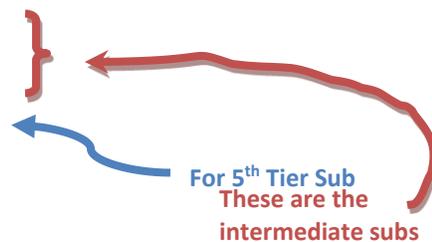
1. The prime contract number is the USIBWC Contract number.
2. Enter the date that the subcontract for the subcontractor in Block 5 was awarded or executed.
3. Enter the subcontractor number if one is used.
4. Enter name and address for the prime contractor (USIBWC's contractor).
5. Enter name and address for the subcontractor.
6. Check the box for "does" contain CWHSSA.
- 7.a. The name of the awarding firm is the prime contractor or the subcontractor who hired the subcontractor in Block 5. This should be the company one tier above the subcontractor in Block 5.
- 7.b. Enter an exact and complete description of the work covered by this subcontract.
8. Enter the USIBWC project name.
9. Enter the general location of the project.
10. Enter the name and title of the person from the prime contractor who is signing the form.
11. Have the person from Block 10 sign the form.
12. Provide date when form signed.

PART II - ACKNOWLEDGMENT OF SUBCONTRACTOR

13. By signing in Block 16, the subcontractor is acknowledging the clauses listed.
14. Enter the names of any and all subcontractors between the prime (1st tier) and the subcontractor in Block 5. For example, if the subcontractor in Block 5 is the 4th tier subcontractor, then list the 2nd tier and 3rd tier contractor names in Block 14. See below.

Description of Intermediate Subcontractors

Prime Contractor 1st Tier
2nd Tier Subcontractor
3rd Tier Subcontractor
4th Tier Subcontractor
5th Tier Subcontractor



Webster's dictionary defines intermediate as "being or occurring at the middle place, stage, or degree." The Court's use this definition.

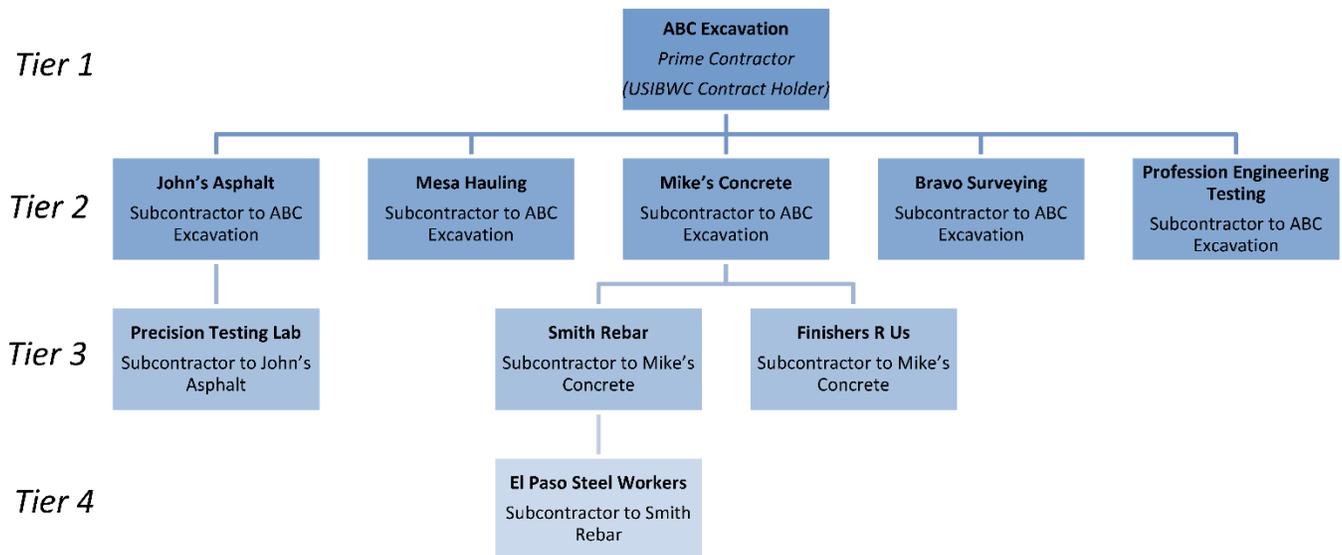
15. Enter the name and title of the person from the subcontractor in Block 5 who is signing the form.
16. Have the person from Block 15 sign the form.
17. Provide date when form signed.



INTERNATIONAL BOUNDARY & WATER COMMISSION
**STATEMENT AND ACKNOWLEDGE (SF-1413) FORM
INSTRUCTIONS**

EXAMPLES

Two examples are provided. Both examples use data from the organizational chart below.



The first example shows an SF-1413 for a direct subcontractor (Tier 2).

The second example shows an SF-1413 for a lower tiered subcontractor (Tier 4) with two intermediate contractors.

PLEASE NOTE

Many DOD (AF, USACE, etc.) instructions state for subcontractors to list lower tier subcontractors under Form Block 14. USIBWC does not follow this convention.

USIBWC follows the Court's definition that an intermediate contractor is one working between two levels. If A hires B and B hires C; B is an intermediate contractor.

EXAMPLE 1-Direct Subcontractor

STATEMENT AND ACKNOWLEDGMENT

OMB Control Number: 9000-0066

Expiration Date: 5/31/2025

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 9000-0014. We estimate that it will take .05 hours to read the instructions, gather the facts, and answer the questions. Send only comments relating to our time estimate, including suggestions for reducing this burden, or any other aspects of this collection of information to: U.S. General Services Administration, Regulatory Secretariat Division (M1V1CB), 1800 F Street, NW, Washington, DC 20405.

PART I - STATEMENT OF PRIME CONTRACTOR

1. PRIME CONTRACT NUMBER 191BWC99C9999	2. DATE SUBCONTRACT AWARDED 01/03/2019	3. SUBCONTRACT NUMBER 452654-S			
4. PRIME CONTRACTOR		5. SUBCONTRACTOR			
a. NAME ABC Excavation		a. NAME Mike's Concrete			
b. STREET ADDRESS 4171 N Mesa		b. STREET ADDRESS 1234 Main St			
c. CITY El Paso	d. STATE TX	e. ZIP CODE 79902	c. CITY Any Town	d. STATE TX	e. ZIP CODE 79902
6. The prime contract <input checked="" type="checkbox"/> does, <input type="checkbox"/> does not contain the clause entitled "Contract Work Hours and Safety Standards Act -- Overtime Compensation."					

7. The prime contractor states that under the contract shown in Item 1, a subcontract was awarded on the date shown in Item 2 to the subcontractor identified in item 5 by the following firm:

a. NAME OF AWARDED FIRM ABC Excavation
b. DESCRIPTION OF WORK BY SUBCONTRACTOR Hauling excavated material from worksite to disposal site.

8. PROJECT Make Believe Levee Work	9. LOCATION Doña Ana County, NM	
10a. NAME OF PERSON SIGNING John Doe	11. BY (Signature) Signature of person in Block 10 from prime contractor	12. DATE SIGNED 01/11/2019
10b. TITLE OF PERSON SIGNING Project Manager		

PART II - ACKNOWLEDGMENT OF SUBCONTRACTOR

13. The subcontractor acknowledges that the following clauses of the contract shown in Item 1 are included in this subcontract:

Contract Work Hours and Safety Standards Act - Overtime Compensation (If included in prime contract see Block 6)	Construction Wage Rate Requirements
Payrolls and Basic Records	Apprentices and Trainees
Withholding of Funds	Compliance with Copeland Act Requirements
Disputes Concerning Labor Standards	Subcontracts (Labor Standards)
Compliance with Construction Wage Rate Requirements and Related Regulations	Contract Termination - Debarment
	Certification of Eligibility

14. NAME(S) OF ANY INTERMEDIATE SUBCONTRACTORS, IF ANY

A	C	
B	D	
15a. NAME OF PERSON SIGNING Mike Smith	16. BY (Signature) Signature of person in Block 15 from company in Block 5	17. DATE SIGNED 01/03/2019
15b. TITLE OF PERSON SIGNING Owner		

EXAMPLE 2-Lower Tier Subcontractor

STATEMENT AND ACKNOWLEDGMENT

OMB Control Number: 9000-0066
Expiration Date: 5/31/2025

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 9000-0014. We estimate that it will take .05 hours to read the instructions, gather the facts, and answer the questions. Send only comments relating to our time estimate, including suggestions for reducing this burden, or any other aspects of this collection of information to: U.S. General Services Administration, Regulatory Secretariat Division (M1V1CB), 1800 F Street, NW, Washington, DC 20405.

PART I - STATEMENT OF PRIME CONTRACTOR

1. PRIME CONTRACT NUMBER 191BWC99C9999		2. DATE SUBCONTRACT AWARDED 01/24/2019		3. SUBCONTRACT NUMBER SBC-98456	
4. PRIME CONTRACTOR				5. SUBCONTRACTOR	
a. NAME ABC Excavation			a. NAME El Paso Steel Workers		
b. STREET ADDRESS 4171 N Mesa			b. STREET ADDRESS 999 Paisano		
c. CITY El Paso		d. STATE TX	e. ZIP CODE 79902	c. CITY El Paso	
		d. STATE TX	e. ZIP CODE 79902		
6. The prime contract <input checked="" type="checkbox"/> does, <input type="checkbox"/> does not contain the clause entitled "Contract Work Hours and Safety Standards Act -- Overtime Compensation."					

7. The prime contractor states that under the contract shown in Item 1, a subcontract was awarded on the date shown in Item 2 to the subcontractor identified in item 5 by the following firm:

a. NAME OF AWARDING FIRM Smith Rebar	
b. DESCRIPTION OF WORK BY SUBCONTRACTOR Moving bar onsite, laying bar to position per shop drawings, tying bar, and ensuring that bars are in proper position for concrete placements.	

8. PROJECT Make Believe Levee Work		9. LOCATION Doña Ana County, NM	
10a. NAME OF PERSON SIGNING John Doe		11. BY (Signature) Signature of person in Block 10 from prime contractor	
10b. TITLE OF PERSON SIGNING Project Manager		12. DATE SIGNED 01/28/2019	

PART II - ACKNOWLEDGMENT OF SUBCONTRACTOR

13. The subcontractor acknowledges that the following clauses of the contract shown in Item 1 are included in this subcontract:

Contract Work Hours and Safety Standards Act - Overtime Compensation (If included in prime contract see Block 6)	Construction Wage Rate Requirements
Payrolls and Basic Records	Apprentices and Trainees
Withholding of Funds	Compliance with Copeland Act Requirements
Disputes Concerning Labor Standards	Subcontracts (Labor Standards)
Compliance with Construction Wage Rate Requirements and Related Regulations	Contract Termination - Debarment
	Certification of Eligibility

Refer to example org chart. These are the companies between the prime and the sub in Block 5

14. NAME(S) OF ANY INTERMEDIATE SUBCONTRACTORS, IF ANY			
A	Mike's Concrete	C	
B	Smith Rebar	D	
15a. NAME OF PERSON SIGNING Mark Rodriguez		16. BY (Signature) Signature of person in Block 15 from company in Block 5	
15b. TITLE OF PERSON SIGNING Project Manager		17. DATE SIGNED 01/24/2019	

Please note that the SF-1413 revised 10/2023 is exactly the same as the 4/2023 revision (including expiration date and OMB number). The 10/2023 version extends the form to two pages while the 4/2023 version is on a single sheet.

USIBWC prefers the 4/2023 version on a single sheet, but either version is acceptable.