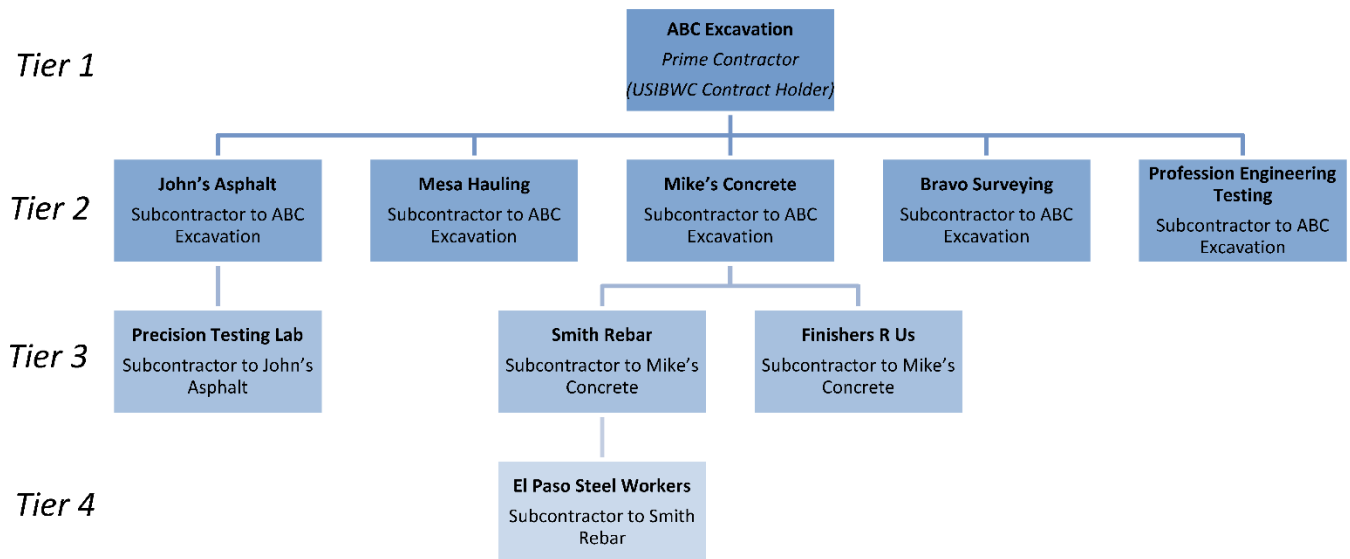




INTERNATIONAL BOUNDARY & WATER COMMISSION
STATEMENT AND ACKNOWLEDGE (SF-1413) FORM
INSTRUCTIONS

EXAMPLES

Two example are provided. Both examples use data from the organizational chart below.



The first example shows an SF-1413 for a direct subcontractor (Tier 2).

The second example shows an SF-1413 for a lower tiered subcontractor (Tier 4) with two intermediate contractors.

PLEASE NOTE

Many DOD (AF, USACE, etc.) instructions state for subcontractors to list lower tier subcontractors under Form Block 14. USIBWC does not follow this convention.

USIBWC follows the Court's definition that an intermediate contractor is one working between two levels. If A hires B and B hires C; B is an intermediate contractor.

EXAMPLE 1-Direct Subcontractor

STATEMENT AND ACKNOWLEDGMENT

OMB Control Number: 9000-0066

Expiration Date: 5/31/2025

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 9000-0014. We estimate that it will take .05 hours to read the instructions, gather the facts, and answer the questions. Send only comments relating to our time estimate, including suggestions for reducing this burden, or any other aspects of this collection of information to: U.S. General Services Administration, Regulatory Secretariat Division (M1V1CB), 1800 F Street, NW, Washington, DC 20405.

PART I - STATEMENT OF PRIME CONTRACTOR

1. PRIME CONTRACT NUMBER 191BWC99C9999	2. DATE SUBCONTRACT AWARDED 01/03/2019	3. SUBCONTRACT NUMBER 452654-S
4. PRIME CONTRACTOR		5. SUBCONTRACTOR
a. NAME ABC Excavation		a. NAME Mike's Concrete
b. STREET ADDRESS 4171 N Mesa		b. STREET ADDRESS 1234 Main St
c. CITY El Paso	d. STATE TX	e. ZIP CODE 79902
c. CITY Any Town	d. STATE TX	e. ZIP CODE 79902
6. The prime contract <input checked="" type="checkbox"/> does, <input type="checkbox"/> does not contain the clause entitled "Contract Work Hours and Safety Standards Act -- Overtime Compensation."		

7. The prime contractor states that under the contract shown in Item 1, a subcontract was awarded on the date shown in Item 2 to the subcontractor identified in item 5 by the following firm:

a. NAME OF AWARDFIRM

ABC Excavation

b. DESCRIPTION OF WORK BY SUBCONTRACTOR

Hauling excavated material from worksite to disposal site.

8. PROJECT Make Believe Levee Work	9. LOCATION Doña Ana County, NM	
10a. NAME OF PERSON SIGNING John Doe	11. BY (Signature) Signature of person in Block 10 from prime contractor	12. DATE SIGNED 01/11/2019
10b. TITLE OF PERSON SIGNING Project Manager		

PART II - ACKNOWLEDGMENT OF SUBCONTRACTOR

13. The subcontractor acknowledges that the following clauses of the contract shown in Item 1 are included in this subcontract:

Contract Work Hours and Safety Standards Act - Overtime Compensation (If included in prime contract see Block 6)	Construction Wage Rate Requirements
Payrolls and Basic Records	Apprentices and Trainees
Withholding of Funds	Compliance with Copeland Act Requirements
Disputes Concerning Labor Standards	Subcontracts (Labor Standards)
Compliance with Construction Wage Rate Requirements and Related Regulations	Contract Termination - Debarment
	Certification of Eligibility

14. NAME(S) OF ANY INTERMEDIATE SUBCONTRACTORS, IF ANY

A	C	
B	D	
15a. NAME OF PERSON SIGNING Mike Smith	16. BY (Signature) Signature of person in Block 15 from company in Block 5	17. DATE SIGNED 01/03/2019
15b. TITLE OF PERSON SIGNING Owner		

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PREVIOUS EDITION IS NOT USABLE

STANDARD FORM 1413 (REV. 4/2013)

Prescribed by GSA/FAR (48 CFR) 53.222(e)

Example provided as part of USIBWC's SF-1413 Instructions

EXAMPLE 2-Lower Tier Subcontractor

STATEMENT AND ACKNOWLEDGMENT

OMB Control Number: 9000-0066

Expiration Date: 531/2025

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 9000-0014. We estimate that it will take .05 hours to read the instructions, gather the facts, and answer the questions. Send only comments relating to our time estimate, including suggestions for reducing this burden, or any other aspects of this collection of information to: U.S. General Services Administration, Regulatory Secretariat Division (M1V1CB), 1800 F Street, NW, Washington, DC 20405.

PART I - STATEMENT OF PRIME CONTRACTOR

1. PRIME CONTRACT NUMBER 191BWC99C9999	2. DATE SUBCONTRACT AWARDED 01/24/2019	3. SUBCONTRACT NUMBER SBC-98456
4. PRIME CONTRACTOR		5. SUBCONTRACTOR
a. NAME ABC Excavation		a. NAME El Paso Steel Workers
b. STREET ADDRESS 4171 N Mesa		b. STREET ADDRESS 999 Paisano
c. CITY El Paso	d. STATE TX	e. ZIP CODE 79902
c. CITY El Paso	d. STATE TX	e. ZIP CODE 79902
6. The prime contract <input checked="" type="checkbox"/> does, <input type="checkbox"/> does not contain the clause entitled "Contract Work Hours and Safety Standards Act -- Overtime Compensation."		

7. The prime contractor states that under the contract shown in Item 1, a subcontract was awarded on the date shown in Item 2 to the subcontractor identified in item 5 by the following firm:

a. NAME OF AWARDOING FIRM

Smith Rebar

b. DESCRIPTION OF WORK BY SUBCONTRACTOR

Moving bar onsite, laying bar to position per shop drawings, tying bar, and ensuring that bars are in proper position for concrete placements.

8. PROJECT Make Believe Levee Work	9. LOCATION Doña Ana County, NM	
10a. NAME OF PERSON SIGNING John Doe	11. BY (Signature) Signature of person in Block 10 from prime contractor	12. DATE SIGNED 01/28/2019
10b. TITLE OF PERSON SIGNING Project Manager		

PART II - ACKNOWLEDGMENT OF SUBCONTRACTOR

13. The subcontractor acknowledges that the following clauses of the contract shown in Item 1 are included in this subcontract:

Contract Work Hours and Safety Standards Act - Overtime Compensation (If included in prime contract see Block 6)	Construction Wage Rate Requirements
Payrolls and Basic Records	Apprentices and Trainees
Withholding of Funds	Compliance with Copeland Act Requirements
Disputes Concerning Labor Standards	Subcontracts (Labor Standards)
Compliance with Construction Wage Rate Requirements and Related Regulations	Contract Termination - Debarment
	Certification of Eligibility

Refer to example org chart
These are the companies
between the prime and the
sub in Block 5

14. NAME(S) OF ANY INTERMEDIATE SUBCONTRACTORS, IF ANY

A	Mike's Concrete	C	
B	Smith Rebar	D	
15a. NAME OF PERSON SIGNING Mark Rodriguez	16. BY (Signature) Signature of person in Block 15 from company in Block 5	17. DATE SIGNED 01/24/2019	
15b. TITLE OF PERSON SIGNING Project Manager			

Please note that the SF-1413 revised 10/2023 is exactly the same as the 4/2023 revision (including expiration date and OMB number). The 10/2023 version extends the form to two pages while the 4/2023 version is on a single sheet.

USIBWC prefers the 4/2023 version on a single sheet, but either version is acceptable.